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Date

March 12, 2004

PTO/SB/21 (05-03)

Approved for use through 04/30/2003. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. **Application Number** 10/680,670 **Filing Date** October 6, 2003 **TRANSMITTAL** First Named Inventor TAKASHIMA, AKIRA **FORM** Group Art Unit 1647 (to be used for all correspondence after initial filing) **Examiner Name** Attorney Docket Number SWMC-001DIV Total Number of Pages in This Submission ENCLOSURES (check all that apply) Fee Transmittal Form **Assignment Papers** After Allowance Communication (for an Application) to Group Fee Attached Drawing(s) Appeal Communication to Board of Appeals and Interferences Amendment / Reply Licensing-related Papers After Final Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) Petition Affidavits/declaration(s) Proprietary Information Petition to Convert to a Extension of Time Request Provisional Application Status Letter **Express Abandonment Request** Power of Attorney, Revocation Change of Correspondence X Information Disclosure Statement Other Enclosure(s) (please Address identify below): Terminal Disclaimer Certified Copy of Priority **Documents** Copies of PTO-892, PTO-SB-08A, and 1449 from the parent Request for Refund Response to Missing Parts/ Postcard Incomplete Application CD, Number of CD(s Response to Missing Parts Remarks under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Signing Attorney/Agent JAMES S. KEDDIE, PH.D., 48,924 (Reg. No.) FIELD & FRANCIS Signature

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This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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INFORMATION DISCLOSURE STATEMENT

Address to: Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Attorney Docket	SWMC-001DIV
First Named Inventor	TAKASHIMA, AKIRA
Application Number	10/680,670
Confirmation No.	5480
Filing Date	October 6, 2003
Group Art Unit	1647
Examiner Name	
Title: "MODULATO	RS OF POLVSACCHARIDES AND

Title: "MODULATORS OF POLYSACCHARIDES AND USES THEREOF"

Sir:

This is an Information Disclosure Statement submitted for the Examiner's consideration. Copies from the parent of forms 1449, PTO-892, and PTO-SB/08A listing the references accompany this paper. Applicants would appreciate the Examiner's initialing and returning the form to indicate that the references have been reviewed and made of record.

All of the references identified herein were disclosed in parent application serial number 09/532,709 filed 3/22/2000 and as such, copies thereof are not included pursuant to the provisions of 37 CFR § 1.98(d).

This Information Disclosure Statement is not intended as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that any one of the above references constitutes prior art to the present application within the meaning of 35 U.S.C.§102.

As applicants have not yet received a first Action on the merits, no fee is believed to be required for filing this Disclosure Statement. If, however, the PTO finds that for some reason a fee is due, our Deposit Account No. 50-0815, Order No. SWMC-001DIV may be charged thereon.

Respectfully submitted,

BOZICEVIC, FIELD & FRANCIS LLP

Date: <u>March 12, 2004</u>

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Telephone: (650) 327-3400 Facsimile: (650) 327-3231

Bv:

Vames S. Keddie, Ph.D. Registration No. 48,920

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Application/Control No. 09/532,709	Applicant(s)/F Reexaminatio TAKASHIMA	n	•
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U.S. PATENT DOCUMENTS

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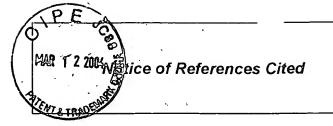
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NON-PATENT DOCUMENTS

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*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).) Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

U.S. Patent and Trademark Office PTO-892 (Rev. 01-2001)



Application/Control No. 09/532,709

Applicant(s)/Patent Under Reexamination TAKASHIMA ET AL.

Art Unit

Examiner
Tahira H Bhatti

1627

Page 1 of 1

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U.S. Patent and Trademark Office PTO-892 (Rev. 01-2001)

Notice of References Cited

Part of Paper No. 12

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Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

Application Number
O9/532,709
Filing Date
March 22, 2000
First Named Inventor
TAKASHIMA, All

 First Named Inventor
 TAKASHIMA, AKIRA

 Group Art Unit
 1627

 Examiner Name
 WESSENDORF, TERESA D.

(use as many sheets as necessary) Examiner Name WESSEND
Sheet 1 of 1 Attorney Docket Number SWMC-001

U.S. PATENT DOCUMENTS							
Examiner Initials'	Cite No.1	U.S. Patent Documents Number Kind Cod (if known)	Name of Patentee or Applicant	Date of Publication of Cited Document MM-DD-YYYY	Pages, columns, lines, Where Relevant Passages or Relevant Figures Appear		
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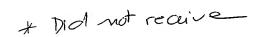
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			VAN KUPPELT et al., (1998), J. Biol. Chem., 273(21):12960-12966	
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¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard St.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

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APPLICANT			
	Takash	nima et al.	
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FORMATION DISCLOSURE CITATION

Form PTO-1449 (Modified)

(Use several sheets if necessary)

ATTY. DOCKET NO.	SERIAL NO.
SWMC-001	09/532,709
APPLICANT	
Takash	ima et al.
FILING DATE	GROUP
March 22, 2000	1643

U.S. PATENT DOCUMENTS								
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EXAMINER	DATE CONSIDERED
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not in conformance and not considered. Include copy of this form with	next communication to applicant.

DATE: March 12, 2004

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EXPRESS MAIL CERTIFICATION

<u>Date of Deposit: 03/12/2004</u> I hereby certify that the below-listed papers or fees were inserted into a package addressed to: Commissioner for Patents, PO BOX 1450, Alexandria, Virginia 22313-1450 and was deposited by me with the United States Postal Service "Express Mail Post Office Addressee" service under 37 C.F.R. § 1.10 on the date indicated above.

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Date	109

Atty. Docket No.	Serial Number	Description	Atty.	Fee
KINE- 001CON3	10/733,015	Express Abandonment	PJS	
SWMC- 001DIV	10/680,670	Transmittal, IDS, Copies of 1449's and PTO-892's from Parent	JSK	
STAN-186CON	10/655,557	Transmittal, Fee Transmittal in duplicate, Copy of Notice to File Missing Parts, Preliminary Amendment, IDS, SB08A, Copies of 1449's and PTO-892's from Parent, Copies of (3) Cited References	FPB	\$574
LIFE-036	10/263,610	Transmittal, Amendment	FPB	
AERX- 067CON	10/095,898	PTOL-85B in duplicate	PAB	\$1,630
UCAL- 305CON4	10/648,619	Transmittal, Preliminary Amendment	PAB	
XLXS-001	10/638,225	Transmittal, Fee Transmittal in duplicate (Petition for a 2 Month Extension of Time), Marked-Up OFR, Request for Corrected Filing Receipt, Copy of Notice3 to File Missing Parts, Executed Declaration in counterparts	PAB	\$550
IRVN-007CON	09/712,813	Transmittal, Fee Transmittal in duplicate, Amendment After Final Rejection	CLF	\$160